PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| Te man E TD A NOBELTT  | Complete if Known  Application Number 09/665 493       |                          |   |          |   |   |             |              |  |
|--|--|--------------------------|---|----------|---|---|-------------|--------------|--|
| MEE TRANSMITTAL  |  |                          | Application Number 09/665,493   |          |   |   |             |              |  |
|  |  |                          | ate   | 11001    | 00,000,100  | September 20, 2000  |             |              |  |
| for FY 2004  |  |                          | med Inv   | /entor   |   |   |             |              |  |
| Effective 10/01/2002 Potent force are subject to account and the   |  |                          |   |          |   | MANNING JR., WILLIAM C. TON, THAIAN N.  |             |              |  |
| Effective 10/01/2003. Patent fees are subject to annual revision.  |  |                          |   |          |   | IAIAN N.  |             |              |  |
| ☐ Applicant claims small entity status. See 37 CFR 1.27  |  |                          |   |          | 1632  | <del> </del>  |             |              |  |
| TOTAL AMOUNT OF PAYMENT (\$) 130.00  |  |                          | Attorney Docket No. BERK-010CIP   |          |   |   |             |              |  |
| METHOD OF PAYMENT (check all that apply)   |  |                          | FEE CALCULATION (continued)   |          |   |   |             |              |  |
| ☐ Check ☐ Credit Card ☐ Money ☐ Other ☐ None   |  |                          | ONAL F  | EES      |   |   | ·           |              |  |
| Order  |  |                          |   |          |   |   |             |              |  |
| ☑ Deposit Account:  Deposit  |  |                          | ,   |          |   |   |             |              |  |
| Account 50-0815  |  |                          | Small E   | ntity    |   |   |             |              |  |
| Number<br>Deposit  | Fee  | e Fee                    | Fee   | Fee      |   |   |             | Fee Paid     |  |
| Account Bozicevic, Field & Francis LLP   |  | de (\$)                  | Code  | (\$)     | Fee Descrip   |   |             |              |  |
| Name The Director is authorized to: (check all that apply)   | 105  |                          | 2051  |          | ırcharge – late filir                               | -   |             |              |  |
| The Director is authorized to: (check all that apply)  ☑ Charge fee(s) indicated below ☑ Credit any overpayments |  |                          | 2052  |          | charge – late provisional filing fee or<br>er sheet |   |             |              |  |
| ☐ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.   |  |                          | 1053  |          | on-English specific                                 |   |             | <del> </del> |  |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.                | 181  |                          | 1812  |          | or filing a request for                             |   |             |              |  |
| FEE CALCULATION  | 180  | 920°                     | 1804  |          | equesting publicati<br>camination action            | on of SIR prior to  | •           |              |  |
| 1. BASIC FILING FEE  | 180  | 5 1,840°                 | 1805  |          | equesting publicat                                  | ion of SIR after  |             |              |  |
| Large Entity Small Entity Fee Fee Fee Fee Description  | 125  | 110                      | 2251  |          | tension for reply v                                 | vithin first month  |             |              |  |
| Code (\$) Code (\$)  | Paid 125   |                          | 2252  |          | tension for reply v                                 |   | nth         |              |  |
| 1001 770 2001 385 Utility filing fee   | 125  |                          | 2253  |          | tension for reply v                                 |   |             |              |  |
| 1002 340 2002 170 Design filing fee  | 125  | 4 1,480                  | 2254  |          | tension for reply v                                 |   | h           |              |  |
| 1003 530 2003 265 Plant filing fee   | 125  | 5 2,010                  | 2255  |          | tension for reply v                                 |   |             |              |  |
| 1004 770 2004 385 Reissue filing fee   | , 140  | 1 330                    | 2401  | 165 No   | tice of Appeal                                      |   |             |              |  |
| 1005   160 2005   80 Provisional filing fee  | 140  | 2 330                    | 2402  | 165 Fili | ing a brief in supp                                 | ort of an appeal  |             |              |  |
| SUBTOTAL (1)   | 140  | 3 290                    | 2403  | 145 Re   | equest for oral hea                                 | ring  |             | *            |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE  |  |                          | 1451  | 1,510 Pe | ition to institute a public use proceeding          |   |             |              |  |
| Fee from   |  | 2 110                    | 2452  | 55 Pe    | etition to revive – u                               | ition to revive – unavoidable   |             |              |  |
| Extra Claims below Fee Pai   |  | •                        | 2453  |          | tition to revive – u                                | ition to revive – unintentional   |             |              |  |
| Total Claims -20** = x =   | 150  | •                        | 2501  |          | ility issue fee (or r                               | eissue)   |             |              |  |
| Indep3** = x =   | 150<br>150   |                          | 2502  |          | esign issue fee                                     |   |             |              |  |
| Claims   |  |                          | 2503  |          | nt issue fee  |   |             | 130.00       |  |
| Multiple Dependent =   |  | 6 130                    | 1460  |          | titions to the Com                                  |   |             |              |  |
| ee Fee Fee Fee Fee Description   |  | 7 50                     | 1807  |          | cessing fee under 37 CFR 1.17(q)                    |   |             |              |  |
| ode (\$) Code (\$)   |  | 6 180<br>1 40            | 1806<br>8021  | 40 Re    | ecording each pate                                  | emission of Information Disclosure Stmt<br>cording each patent assignment per |             |              |  |
| 1201 86 2201 43 Independent claims in excess of  | 100  | 9 770                    | 2809  | •        | operty (times num<br>ing a submission :             |   |             |              |  |
| 204 86 2204 43 ** Reissue independent claims   |  |                          |   | (37      | 7 ČFR § 1.129(a))                                   | - · · · · · · · · · · · · · · · · · · ·                                       |             |              |  |
| over original patent 1205 18 2205 9 ** Reissue claims in excess of 20  |  | 0 770                    | 2810 385 For each additional invention to be examined (37 CFR § 1.129(b)) |          |   | <u> </u>  |             |              |  |
| and over original patent   |  | 1 770                    | 770 2801 385 Request for Continued Examination (RCE)                      |          |   |   |             |              |  |
| SUBTOTAL (2) \$ 0.00   |  | 2 900                    | 900 1802 900 Request for expedite of a design application                 |          |   |   |             |              |  |
| **or number previously paid, if greater; For Reissues, see above.  |  |                          | ner fee (specify)   |          |   |   |             |              |  |
|  | duced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.0 |                          |   |          |   | 130.00  |             |              |  |
| SUBMITTED BY Complete (if applicable)  |  |                          |   |          |   |   |             |              |  |
| Name (Print/Type) Carof D. Francis   |  | stration No<br>mey/Agent |   | 36,513   |   | Telephone   | (650) 833-7 | 7731         |  |
|  |  |                          |   |          |   |   |             |              |  |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



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| REQUEST FOR CORRECTION OF                |  |  |  |  |  |
|--|--|--|--|--|--|
| INVENTORSHIP PURSUANT TO                 |  |  |  |  |  |
| 35 U.S.C. § 116 UNDER 37 C.F.R. §1.48(b) |  |  |  |  |  |

Address to: Assistant Commissioner for Patents Washington, D.C. 20231

| Application Number   | 09/665,493   |  |
|----------------------|--|--|
| Attorney Docket No.  | BERK-010CIP  |  |
| Filing Date          | September 20, 2000                                     |  |
| First Named Inventor | William C. Manning Jr.                                 |  |
| Examiner             | Thaian N. Ton  |  |
| Group Art            | 1632   |  |
|                      | Use of Recombinant Gene Delivery                       |  |
| Title                | Vectors for Treating or Preventing Diseases of the Eye |  |

Sir:

Applicant hereby requests that the inventorship in the above-referenced application be amended to delete the following originally named inventors:

John G. Flannery Adriana Di Polo Laura McGee Dana Lau

In view of the accompanying amendment canceling claims, the invention of John G. Flannery, Adriana Di Polo, Laura McGee, and Dana Lau is no longer being claimed in the application.

As required by 37 C.F.R. 1.48(b), this request is accompanied by the fee set forth in 37 C.F.R. §1.17(i). The Commissioner is authorized to charge the required fee of \$130.00 as set forth in 37 C.F.R. §1.17(i), as well as charge any underpayment or credit any overpayment, to our Deposit Account No. 50-0815, order number BERK-010CIP.

Respectfully submitted,

**BOZICEVIC, FIELD & FRANCIS LLP** 

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Date:

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